

# PRINCIPLES AND STEPS- CLINICAL RESEARCH

## RESEARCH

- SYSTEMATIC INVESTIGATION TO ESTABLISH FACTS
- IMPORTANT TOOL TO HELP DEVELOP SOLUTION TO BENEFIT PEOPLE ALL OVER THE WORLD

- IDENTIFICATION OF BETTER WAYS TO PREVENT,DIAGNOSE,TREAT AND UNDERSTAND HUMAN DISEASES
- TREATMENT– CARE PROVIDED TO IMPROVE A SITUATION OR DISEASE

## CLINICAL TRIAL

- RESEARCH STUDY THAT TESTS HOW WELL AN INTERVENTION WORKS IN A GROUP OF PEOPLE
- TESTS NEW METHODS OF SCREENING,PREVENTTION DIAGNOSIS OR THERAPY

- CONDUCTED IN PHASES
- ANSWERS SCIENTIFIC QUESTIONS
- ETHICAL

## ELEMENTS AND PRINCIPLES

- PROTOCOL
- PROTOCOL REVIEW
- SPONSORS
- ELIGIBILITY CRITERIA
- INFORMED CONSENT
- TYPES OF CLINICAL TRIALS
- PHASES OF CLINICAL TRIALS

- WHO CAN PARTICIPATE IN CLINICAL TRIALS – INCLUSION AND EXCLUSION CRITERIA
- IMPORTANCE OF ETHICS IN CLINICAL TRIALS

## PROTOCOL

- PLAN OR ACTION PLAN
- WHO IS ELIGIBLE
- DETAILS ABOUT TEST, PROCEDURES
- LENGTH OF STUDY
- WHAT INFORMATION WILL BE GATHERED
- LED BY PRINCIPAL INVESTIGATOR

# PROTOCOL REVIEW

- APPROVAL BY INSTITUTIONAL REVIEW BOARD OR IRB, ETHICAL COMMITTEE
- SURGEONS, STATISTICIANS, OTHER MEMBERS
- ENSURES ETHICS AND RIGHTS OF ALL PARTICIPANTS

# SPONSOR

- FOUNDATIONS
- MEDICAL INSTITUTIONS
- SURGEON
- VOLUNTARY GROUPS
- PHARMA COMPANIES
- OTHER FEDERAL AGENCIES

# ELIGIBILITY CRITERIA

- GUIDELINES WHO CAN OR CAN'T PARTICIPATE
- CHARACTERISTICS THAT MUST BE MINIMALLY SHARED BY ALL PARTICIPANTS

AGE

GENDER

MEDICAL HISTORY

CURRENT HEALTH STATUS, LAB VALUES

# INFORMED CONSENT

- PROCESS OF PROVIDING POTENTIAL PARTICIPANTS WITH IMPORTANT FACTS ABOUT A CLINICAL TRIAL BEFORE THEY DECIDE TO PARTICIPATE
- NOT A CONTRACT OR PIECE OF PAPER
- PROCESS

- IN PARTICIPANTS NATIVE LANGUAGE
- AT AN APPROPRIATE EDUCATIONAL LEVEL
- RESEARCH TEAM PROVIDES AN INFORMED CONSENT DOCUMENT THAT INCLUDES DETAILS LIKE
  - PURPOSE OF STUDY
  - DURATION
  - REQUIRED PROCEDURE
  - EXPLANATION OF RISK,BENEFIT
  - WHO TO CONTACT



- PARTICIPANT DECIDES WHETHER TO SIGN OR NOT
- AFTER SIGNING, NOT BOUND
- IF UNCOMFORTABLE AT ANY POINT, CAN WITHDRAW

## TYPES OF CLINICAL TRIALS

- TREATMENT—NEW APPROACH TO SURGERY
- PREVENTION
- DIAGNOSTIC
- SCREENING
- QUALITY OF LIFE—EXPLORE WAYS TO IMPROVE COMFORT AND QOL WITH CHRONIC ILLNESS



# WHAT HAPPENS IN A TRIAL?

- CLINICAL TRIAL COMPARE A NEW MANAGEMENT STRATEGY OR SURGICAL TECHNIQUE WITH ANOTHER THAT ALREADY EXISTS.
- THIS DETERMINES IF NEW ONE IS AS SUCCESSFUL OR BETTER THAN EXISTING ONE.
- RANDOMIZATION IS DONE WHEN TWO OR MORE ALTERNATIVE TREATMENTS ARE ASSIGNED TO VOLUNTEERS BY CHANCE INSTEAD OF CHOICE.
- SINGLE BLIND
- DOUBLE BLIND STUDY

# WHO CAN PARTICIPATE?

- INCLUSION CRITERIA– FACTORS THAT ALLOW TO PARTICIPATE.
- EXCLUSION CRITERIA--- FACTORS THAT DO NOT ALLOW PARTICIPATION

- AGE
- GENDER
- TYPE AND STAGE OF DISEASE
- SPECIFIC LAB VALUES
- OTHER MEDICAL CONDITIONS

- NOT PERSONAL
- IDENTIFY APPROPRIATE PARTICIPANTS
- KEEP THEM SAFE
- APPROPRIATE ANSWER TO QUESTION/HYPOTHESIS

## ETHICS

- RESPECT FOR PERSONS
- BENEFICENCE—TO DO GOOD, TO DO NO HARM
- JUSTICE OR FAIRNESS

# RESPECT

- RIGHT TO MAKE THEM OWN CHOICES
- FACTS PRESENTED PROPERLY
- NO PRESSURE
- COMMUNITY RESPECTED
- CAB—COMMUNITY ADVISORY BOARD

# BENEFICENCE

- RESEARCH SHOULD NOT HARM PARTICIPANTS
- LOW RISK
- MORE BENEFITS

# JUSTICE

- FAIRLY RECRUITED AS RESEARCH PARTICIPANTS
- NO FAVOUR TO PARTICULAR, ALL EQUAL

# WHO IS RESPONSIBLE?

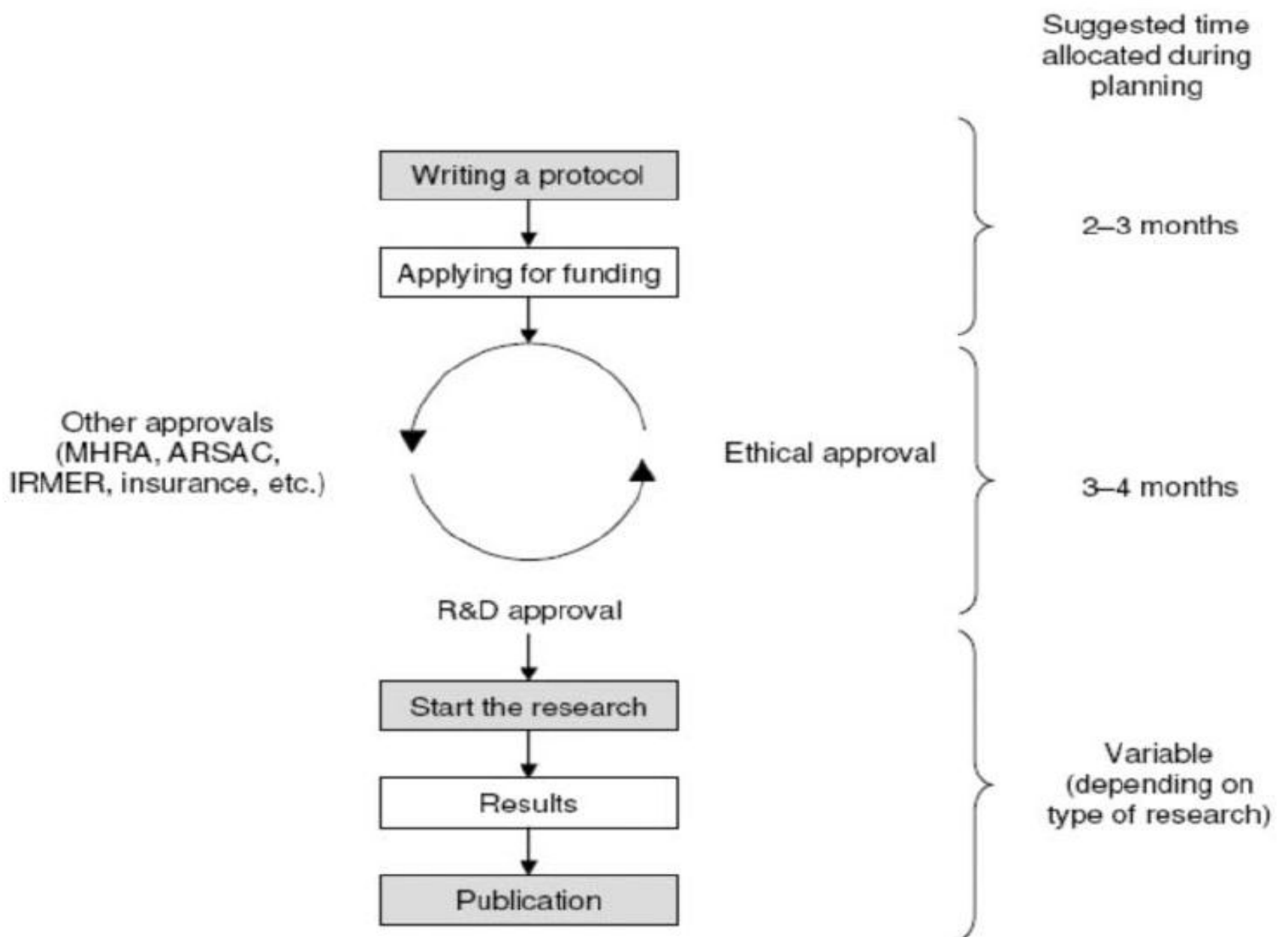
- ETHICAL COMMITTEE OR INSTITUTIONAL REVIEW BODY
- REVIEW PROTOCOL
- ASK TO CHANGE PROTOCOL WHEN NEEDED
- SUPERVISE- BEGINNING TO END
- OVERSEE SCIENTIFIC DESIGN
- REVIEW COMMUNITY INTEREST

- ENFORCE INFORMED CONSENT
- ENFORCE CONFIDENTIALITY

## ETHICAL COMMITTEE

- MEMBERS WITH SCIENCE BACKGROUND
- MEMBERS WITHOUT SCIENCE BACKGROUND
- RELIGION OR COMMUNITY LEADERS
- PEOPLE WHO PARTICIPATED EARLIER
- CLEAR UNDERSTANDING WHEN TO TAKE SECOND OPINION

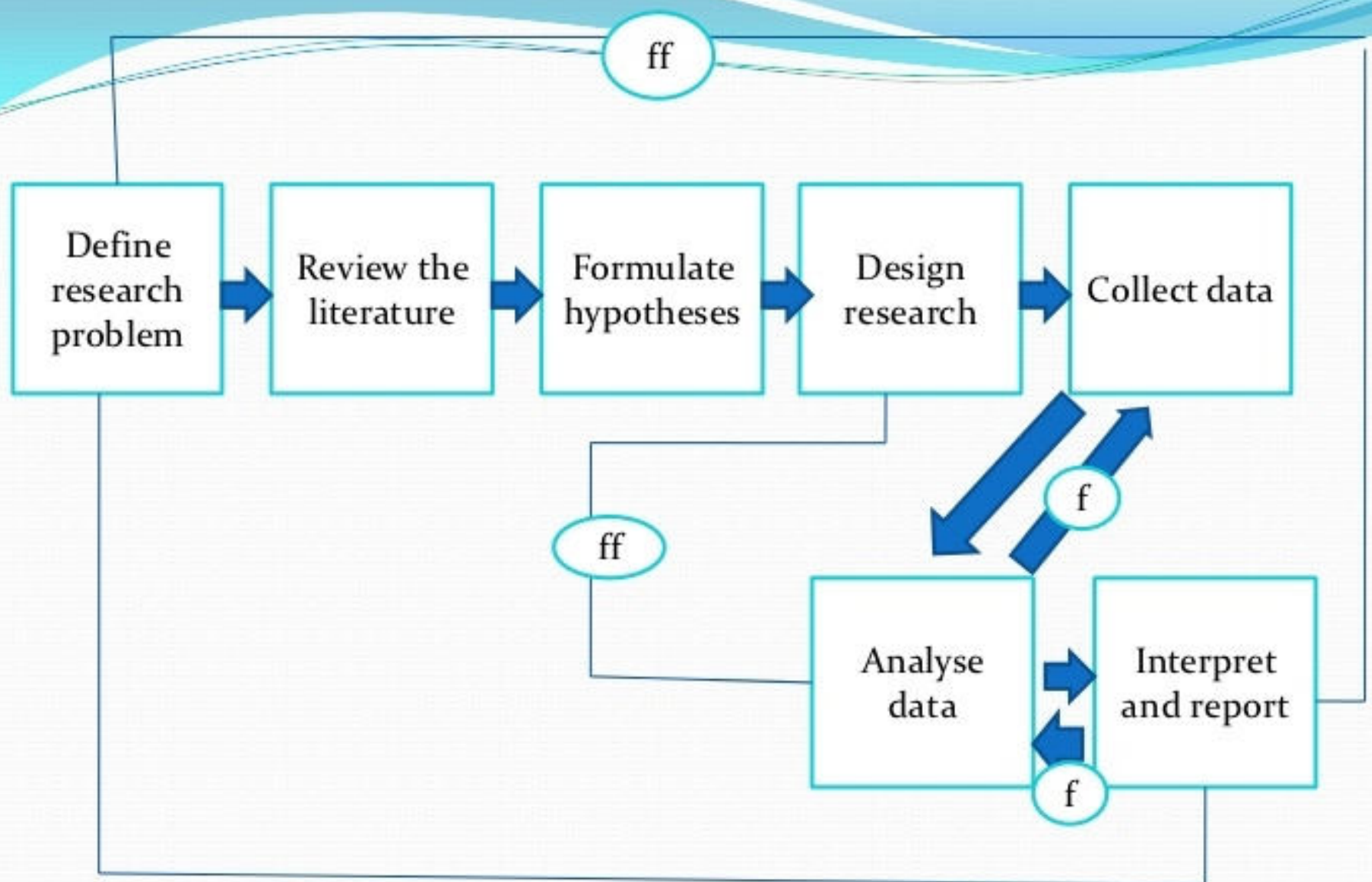




## 7 STEPS OF RESEARCH PROCESS

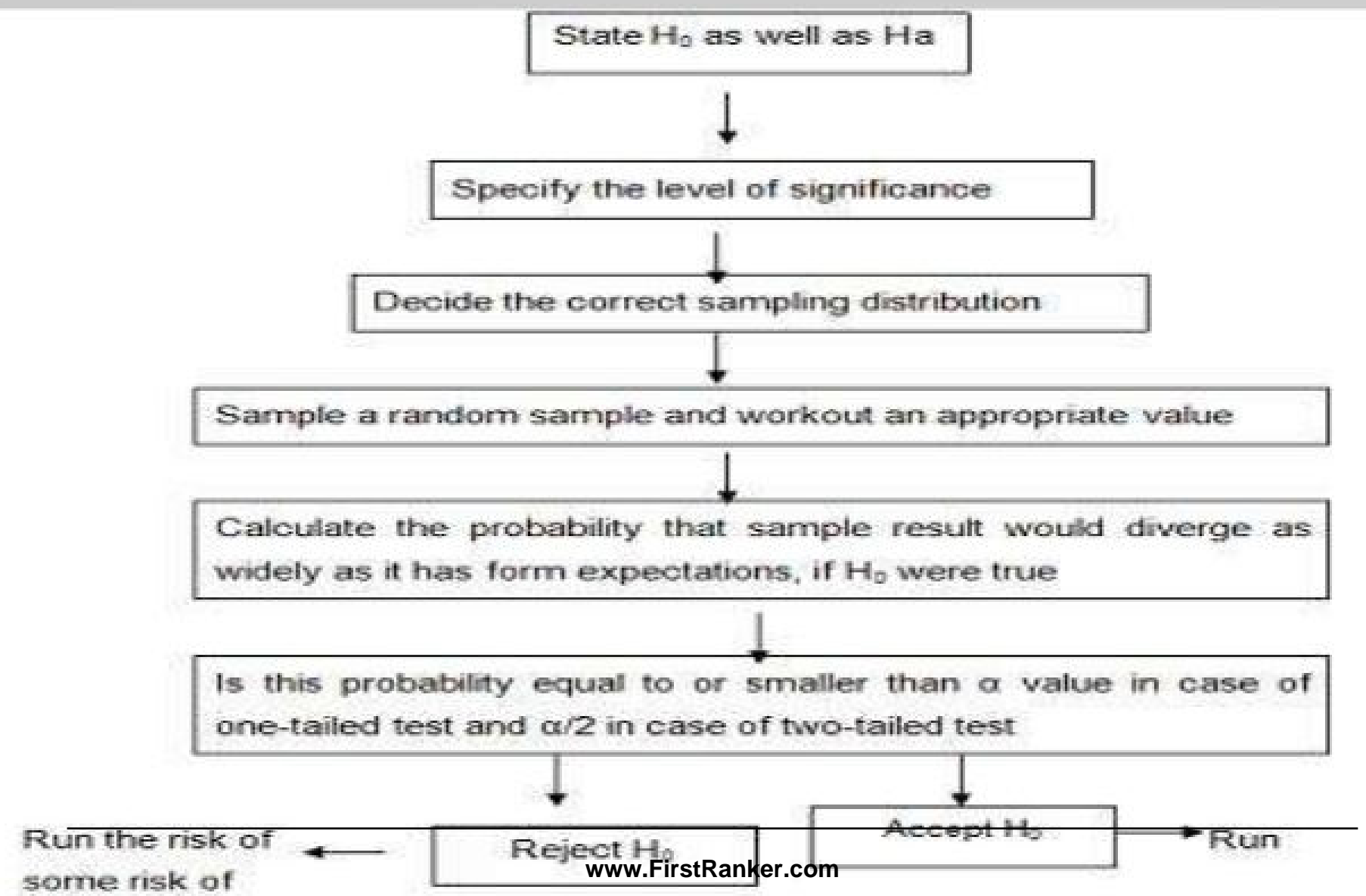
- Step One: Define research problem
- Step Two: Review of literature
- Step Three: Formulate hypotheses
- Step Four: Preparing the research design
- Step Five: Data collection
- Step Six: Data analysis
- Step Seven: Interpretation and report writing





Where  $f$  = feed back(helps in controlling the sub system)  
 $ff$ = feed forward(serves the vital function of providing criteria for evaluation)

# Steps in Hypothesis Testing



# Types of Hypothesis

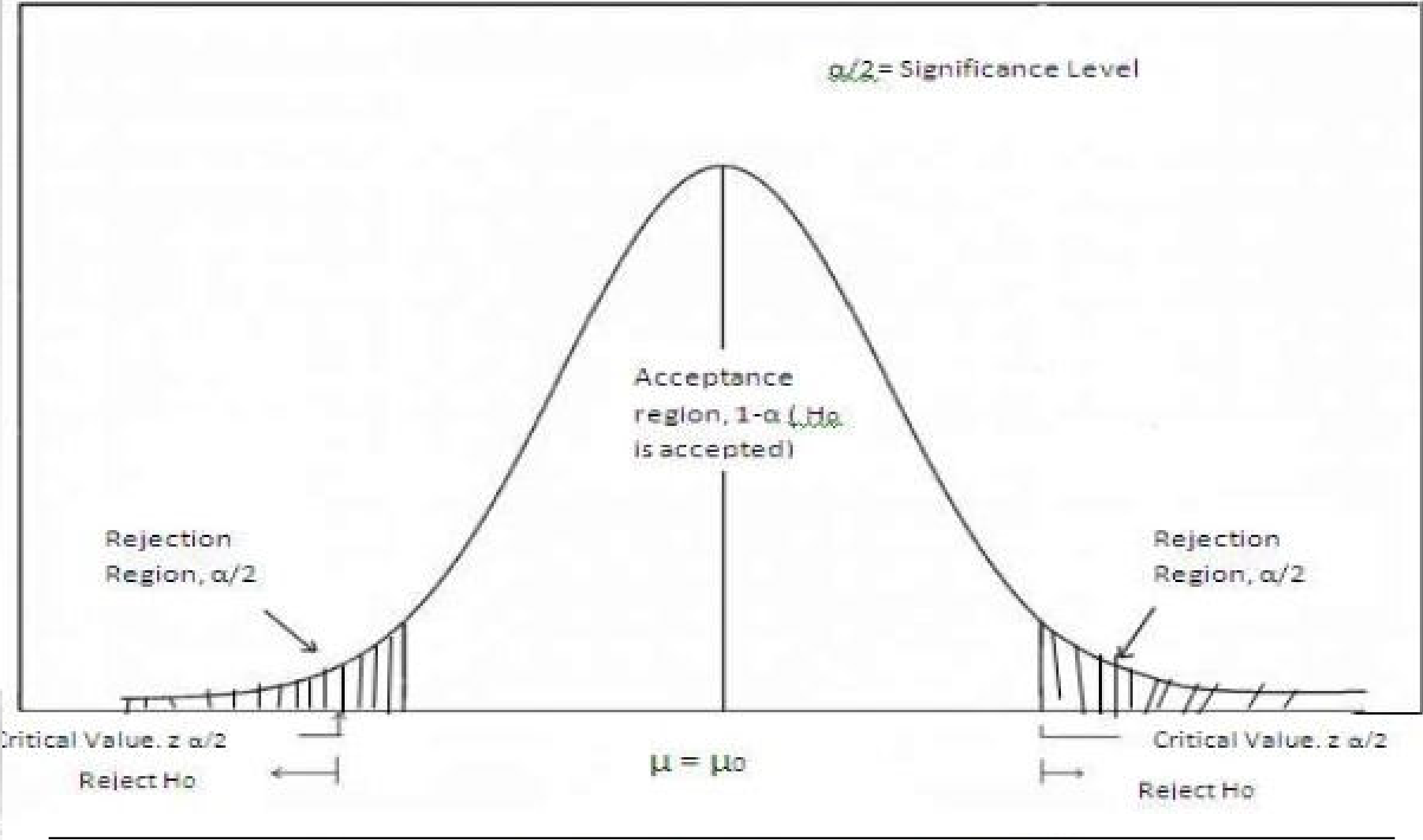
- Null Hypothesis ( $H_0$ )
- Alternative Hypothesis ( $H_a$  or  $H_1$ )

Each of the following statements is an example of a null hypothesis and alternative hypothesis.

$H_0 : \mu = \mu_0$	$H_a : \mu \neq \mu_0$
$H_0 : \mu \leq \mu_0$	$H_a : \mu > \mu_0$
$H_0 : \mu \geq \mu_0$	$H_a : \mu < \mu_0$

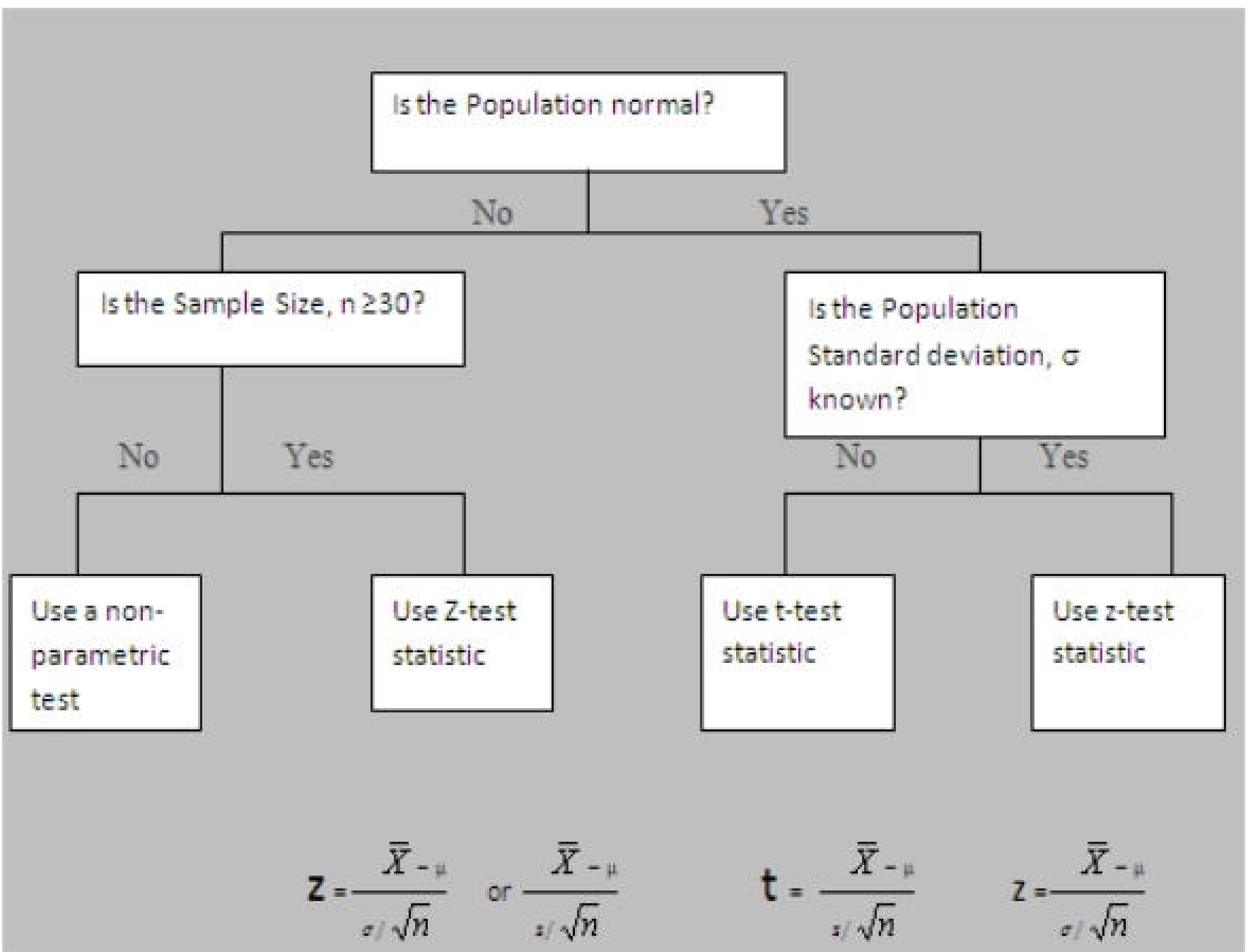
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## Establish Critical or Rejection region



Areas of Accepted and Rejection of  $H_0$  (Two – Tailed test)

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## Formulate a Decision Rule to Accept Null Hypothesis

- Accept  $H_0$  if the test statistic value falls within the area of acceptance.
- Reject otherwise.



# ERRORS IN HYPOTHESIS TESTING

	Types of error	
Type of decision	$H_0$ true	$H_0$ false
Reject $H_0$	Type I error ( $\alpha$ )	Correct decision ( $1-\beta$ )
Accept $H_0$	Correct decision ( $1-\alpha$ )	Type II error ( $\beta$ )

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