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Total No. of Questions: 09

M.Com. (Professional) (2016 to 2017) (Sem.-4) SECURITIES MARKET OPERATIONS

Subject Code: MCOPFA-405 M.Code: 72497

Time: 3 Hrs. Max. Marks: 80

INSTRUCTION TO CANDIDATES:

- 1. SECTIONS-A, B, C & D contains TWO questions each carrying FIFTEEN marks each and students has to attempt any ONE question from each SECTION.
- 2. SECTION-E is COMPULSORY consisting of TEN questions carrying TWENTY marks in all.

SECTION-A

- 1. What are the functions of Indian Securities Markets? What role is played by various intermediaries in the growth and development of the financial markets?
- 2. What is merchant banking all about? Examine the role of Merchant Banker in issue management.

SECTION-B

- 3. Explain the various types of trading platforms in BSE and NSE.
- 4. What steps have been taken by NSE and BSE for the development of efficient trading systems in the stock exchanges?

SECTION-C

- 5. What are the salient features of the SEBI guidelines relating to the functioning of new issue market?
- 6. Describe the steps taken by SEBI for the protection of the interests of the investors.

SECTION-D

- 7. What are the functions of OTCEI? Explain the procedure of listing and trading on OTCEI.
- 8. What role is being played by Flls in the Indian stock markets? Explain the RBI regulations for regulating Flls.

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SECTION-E

9. Write short notes on the following:

- a) Listing of securities
- b) Participatory Notes
- c) NIFTY
- d) Wholesale market segment
- e) Commodity Market
- f) SEBI
- g) Merchant Banker
- h) Lead Manager
- i) Circuit filter
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NOTE: Disclosure of Identity by writing Mobile No. or Making of passing request on any page of Answer Sheet will lead to UMC against the Student.

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