

**Total No. of Pages : 02**

**Total No. of Questions : 09**

**M.Com. (Professional) (2013 Batch SPL) (Sem.-4)**

## SECURITIES MARKET OPERATIONS

**Subject Code : MCOPFA-405**

**Paper ID : [72497]**

**Time : 3 Hrs.**

**Max. Marks : 80**

**INSTRUCTION TO CANDIDATES :**

1. **SECTIONS-A, B, C & D contains TWO questions each carrying FIFTEEN marks each and students has to attempt any ONE question from each SECTION.**
2. **SECTION-E is COMPULSORY consisting of TEN questions carrying TWENTY marks in all.**
3. **Use of non-programmable scientific calculator is allowed.**

## SECTION-A

1. Describe an overview of stock exchanges in India. What are the major bottlenecks in the development of Indian stock exchanges?
2. What are the functions of secondary market? What role is played by various intermediaries in the smooth functioning of secondary market?

## SECTION-B

3. Explain the various types of settlements of trade in BSE and NSE.
4. What steps have been taken by NSE and BSE for the surveillance in the stock exchanges?

## SECTION-C

5. What are the salient features of the SEBI guidelines relating to the functioning of new issue market?
6. Describe the steps taken by SEBI for the protection of the interests of the investors.

## SECTION-D

7. What are the various stock market indicators? Are they the true indicators?

8. Explain the trading pattern and functioning of National Stock exchange of India.

**SECTION-E**

9. Write short notes on the following :

- a) Underwriting
- b) Regional Stock exchanges
- c) NEAT system
- d) Bad Delivery
- e) Delisting of securities
- f) OTCEI
- g) SENSEX
- h) Capital market segment
- i) Commodity exchange
- j) Pay in and Pay out